

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
WANG, et al.

Serial No.: 09/668,213

Confirmation No.: 9838

Filed: September 22, 2000

For: ELECTRONIC TRANSACTION
SYSTEMS AND METHODS
THEREFOR

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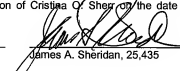
Group Art Unit: 3621

Examiner: Cristina O. Sherr

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

CERTIFICATE OF TRANSMISSION	
I hereby certify that this correspondence and the documents referred to as attached therein are being electronically transmitted to the U.S. Patent and Trademark Office via EFS-Web to the attention of Cristina O. Sherr on the date shown below.	
Date 5/14/07	 James A. Sheridan, 25,435

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

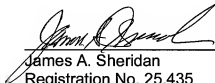
While the information submitted in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

The patents and/or publications submitted herewith are set forth on the attached Form PTO-SB08a and SB08b. Copies of the US references are not being submitted.

The Commissioner is hereby authorized to charge the sum of \$180.00 due under 37 CFR § 1.17(p) pursuant to § 1.97, and any other fee necessary to make this submission timely, to the Deposit Account No. 20-0782/ESX/005/JAS.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "James A. Sheridan", is written over a horizontal line.

James A. Sheridan
Registration No. 25,435
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 6

Complete if Known

Application Number 09/668,213
Filing Date September 22, 2000
First Named Inventor Ynjun WANG
Art Unit 3621
Examiner Name Cristina O. Sherr
Attorney Docket Number ESX/005

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	A1	4,701,601	10/20/1987	Francini, et al.	
	A2	4,791,283	12/13/1988	Burkhardt	
	A3	4,802,217	1/31/1989	Michener	
	A4	4,813,912	3/21/1989	Chickneas, et al.	
	A5	4,825,050	4/25/1989	Griffith, et al.	
	A6	4,854,961	8/1/1989	Pastor	
	A7	4,858,138	8/15/1989	Taimadge	
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	A9	4,868,376	9/19/1989	Lessin, et al.	
	A10	4,882,474	11/21/1999	Anderi, et al.	
	A11	4,949,257	8/14/1990	Orbach	
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	A14	4,994,986	2/19/1991	Chiwsky, et al.	
	A15	4,999,806	3/12/1991	Chemow, et al.	

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Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁵
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	B1	EP 0261030	Mar-88	Fujitsu Limited		
	B2	WO 97/21188	Jun-97	Hanna, et al.		
	B3	WO 97/46980	Dec-97	Gifford, et al.		
	B4	WO 98/25371	6/11/1998	Wang, Ynjun		
	B5	WO 98/32093	7/1/1998	Seal, et al.		
	B6	JP H10/320458	12/4/1998	Nakamura, et al.		
	B7	CN/ 1211770 A	3/24/1999	Zhenning Yang		
	B8	JP 11252069	9/17/1999	Ikedo Fumiaki		
	B9	WO 99/46691	Sep-99	Newton Farrell		
	B10	WO 99/49613	Sep-99	Bozoki, Eva		
	B11	WO 99/67844	12/29/1999	Jungnitz, Michael		
	B12	JP 2000/099521	3/3/2000	Omorit Tadashi		
	B13	WO 00/52866	Sep-00	eSign, Inc.		
	B14	WO 01/03086	1/11/2001	Savage, et al.		
	B15	WO 01/69388	9/20/2001	eSign, Inc.		

Examiner Signature	Date Considered
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 6

Complete if Known

Application Number	09/668,213
Filing Date	September 22, 2000
First Named Inventor	Ynjun WANG
Art Unit	3621
Examiner Name	Cristina O. Sherr
Attorney Docket Number	ESX/005

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		Number - Kind Code ² (if known)			
	A16	5,020,105	5/28/1991	Rosen, et al.	
	A17	5,070,150	12/03/1991	Hopper	
	A18	5,109,413	4/28/1992	Comeford	
	A19	5,136,647	8/4/1992	Haber, et al.	
	A20	5,153,917	10/6/1992	Kato	
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	A23	5,293,319	3/8/1994	DeSha, et al.	
	A24	5,311,596	5/10/1994	Scott, et al.	
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	A35	5,495,284	2/27/1996	Katz, Ronald A.	
	A36	5,495,411	2/27/1996	Ananda	

FOREIGN PATENT DOCUMENTS

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		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	B16	EP/1 152 378	3/12/2003	Whytock		
	B17	WO 03/065318	8/7/2003	Ynjun P. Wang		
	B18	AU 2000/77342	Apr-01	Christensen, et al.	(Unavailable)	
	B19	WO 2001/24091	Apr-01	Christensen, et al.		
	B20	TW 355899	11/14/1997	Qualcomm, Inc.		

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Sheet 3 of 6

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Application Number	09/668,213
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First Named Inventor	Ynjun WANG
Art Unit	3621
Examiner Name	Cristina O. Sherr
Attorney Docket Number	ESX/005

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		Number - Kind Code ² (if known)			
	A37	5,510,992	4/23/1996	Kara	
	A38	5,524,052	8/4/1996	Augustine, et al.	
	A39	5,544,086	8/6/1996	Davis, et al.	
	A40	5,548,106	8/20/1996	Liang, et al.	
	A41	5,548,645	8/20/1996	Ananda	
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	A44	5,604,801	2/18/1997	Dolan, et al.	
	A45	5,606,507	2/25/1997	Kara	
	A46	5,612,884	3/18/1997	Haines	
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	A48	5,623,637	4/22/1997	Jones, et al.	
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	A50	5,666,284	9/9/1997	Kara	
	A51	5,673,306	9/30/1997	Amadon, et al.	
	A52	5,677,720	10/14/1997	Sung, et al.	
	A53	5,677,952	10/14/1997	Blakley, III, et al.	
	A54	5,679,945	10/21/1997	Renner, et al.	
	A55	5,682,318	10/28/1997	Kara	
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	A57	5,719,918	2/17/1998	Serbetcioglu, et al.	
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	A60	5,745,887	4/28/1998	Gargiulo, et al.	
	A61	5,748,737	5/5/1998	Daggar	
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	A64	5,764,796	6/9/1998	Smith	
	A65	5,774,545	6/30/1998	Raghavachari, Partha	
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	A67	5,778,076	7/7/1998	Kara, et al.	
	A68	5,793,952	8/11/1998	Limsico, Carl	
	A69	5,796,834	8/18/1998	Whitney, et al.	

Examiner
Signature

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Sheet 4 of 6

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First Named Inventor	Ynjin WANG
Art Unit	3621
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		Number - Kind Code‡ (if known)			
	A70	5,796,841	8/18/1998	Cordery, et al.	
	A71	5,799,290	8/25/1998	Dolan, et al.	
	A72	5,801,364	9/1/1998	Kara, et al.	
	A73	5,805,702	9/8/1998	Curry, et al.	
	A74	5,815,617	9/29/1998	Hollar	
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	A85	5,917,913	6/29/1999	Ynjin Paul Wang	
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	A97	5,970,122	10/19/1999	LaPorta, et al.	
	A98	5,978,840	11/2/1999	Nguyen, et al.	
	A99	5,978,843	11/2/1999	Wu, et al.	
	A100	5,988,897	11/23/1999	Pierce, et al.	
	A101	5,991,749	11/23/1999	Morill, Jr.	
	A102	6,000,607	12/14/1999	Ohki, et al.	

Examiner
Signature

Date
Considered

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Sheet 5 of 6

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	A103	6,006,328	12/21/1999	Drake	
	A104	6,012,039	6/4/2000	Hoffman, et al.	
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	A107	6,055,314	4/25/2000	Spies, et al.	
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	A128	6,529,725	3/4/2003	Joao, et al.	
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	A132	6,594,759	7/12/2003	Wang, Ynjun P.	
	A133	6,600,823	7/29/2003	Hayosh	
	A134	RE 34,954	05/30/1995	Haber, et al.	

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Filing Date	September 22, 2000
First Named Inventor	Ynjilun WANG
Art Unit	3621
Examiner Name	Cristina O. Sherr
Attorney Docket Number	ESX/005

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Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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	C2	N. ASOKAN; "Authenticating public terminals"; Computer Networks; 1999; 1389-1286/99; 1999 Elsevier	
	C3	CHAPLAIN, "Is your wire transfer system secure?" Internal Auditor, v52 n3 p56 06/1995	
	C4	BRANDON, "What you should know about wire-transfer liabilities" Financial Executive, v6 pp39(5), Nov - Dec 1990.	
	C5	CAROL H. FANCHER "In your pocket smartcards, Electronic Payments" IEEE Spectrum, 2/1997 Motorola Inc., pp. 47-53	
	C6	PRICE, WYN L. "The NPL Intelligent Token and its Application" Eurocrypt '86	
	C7	KRIVACHY, T "The Chipcard - An Identification Card with Cryptographic Protection" Eurocrypt '85	
	C8	SCHAUMELLER-BICHI, I "IC-Card in High Security Applications" Eurocrypt '87	
	C9	NOOR, Arshad "PGP: Pretty Good Privacy" Unix Review Journal v13n2 PP: 31-38 February 1995	
	C10	FUNG, et al. "Protection of Keys against Modification Attack" IEEE 2001 pp 26-36	

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